

<b>Notice of References Cited</b>		Application/Control No.	Applicant(s)/Patent Under Reexamination NEAL ET AL.	
		10/007,002	Examiner <i>2/23/03</i> Edward R. Cosimano	Art Unit 3629

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,052,686	04-2000	Fernandez et al	707/100
	B	US-6,173,345	01-2001	Stevens	710/100
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
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	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

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	N					
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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)		
	U	Yeoman: "THE OPTIMAL OFFERING PRICE FOR UNDERWRITTEN SECURITIES"; 1993, Volumne 55/01-A of the Dissertation Abstracts International, page 4743, (ABSTRACT ONLY).		
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.